

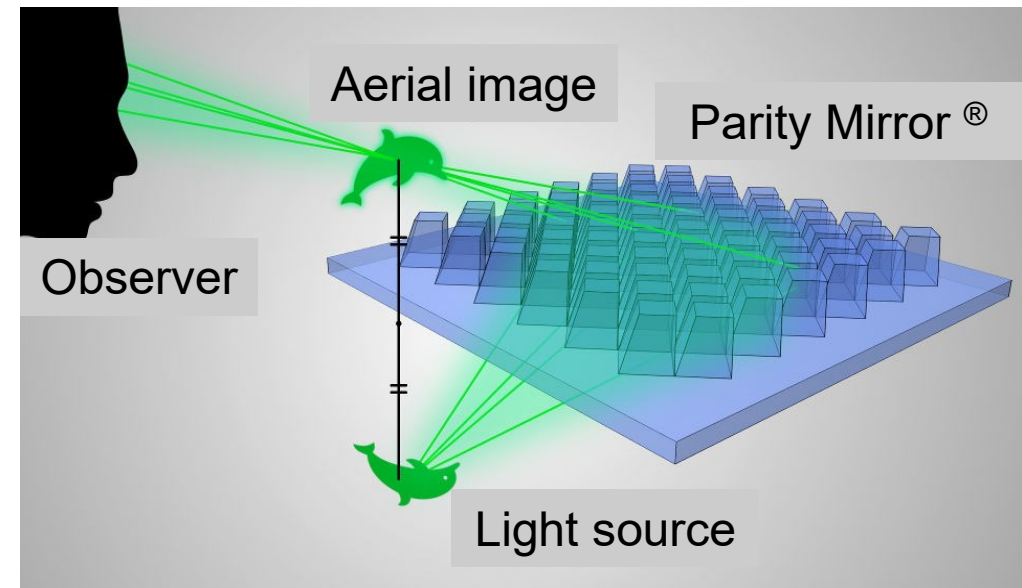
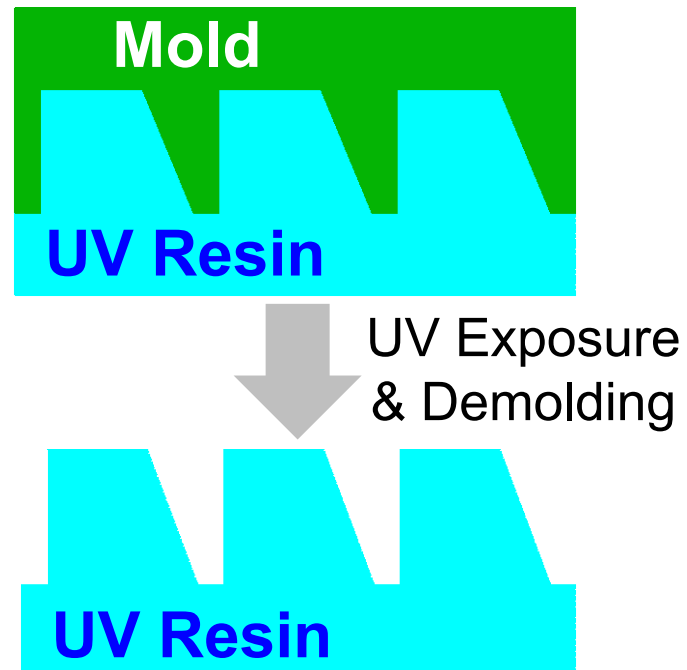
# Numerical modeling method to reproduce UV imprint process using thermo-viscoelastic constitutive law

Ryunosuke YAMASHITA, Yuki ONISHI, Kenji AMAYA

Tokyo Institute of Technology, Japan

# Background

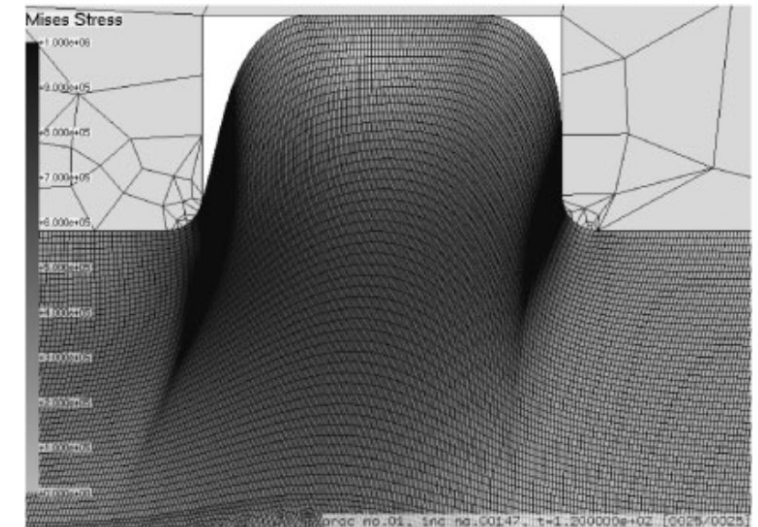
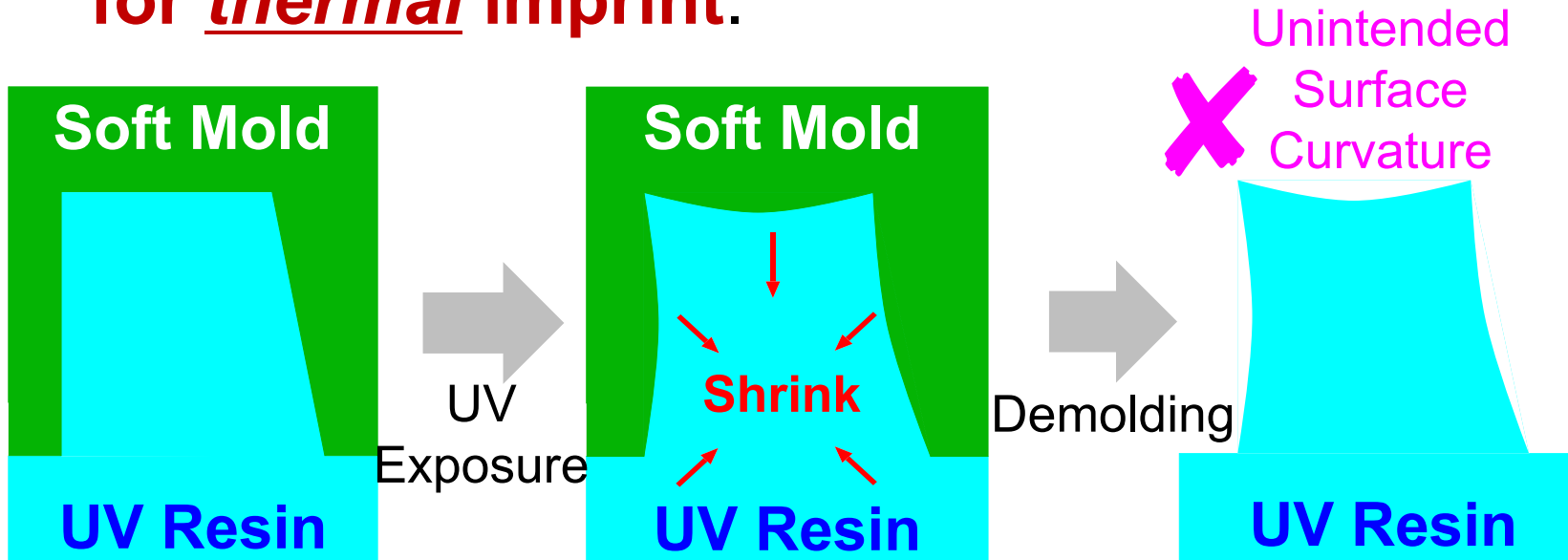
- **UV imprinting** is a low cost and high throughput production method.
- It has been adopted to the production of various **optical devices requiring high surface accuracy** such as micro mirror array.



Example of optical product produced by micro imprint. (Parity Innovations Co., Ltd.)

# Issues

- In the curing process, **volume shrinkage of UV resin** arises and may cause **unintended surface curvature** when a soft mold such as PDMS is used.
- There is **no numerical modeling method** to reproduce this type of error in UV imprint, although there are a few **conventional methods for thermal imprint**.



Y. Onishi *et al.* *Jpn. J. Appl. Phys.*  
47 5145 (2008)

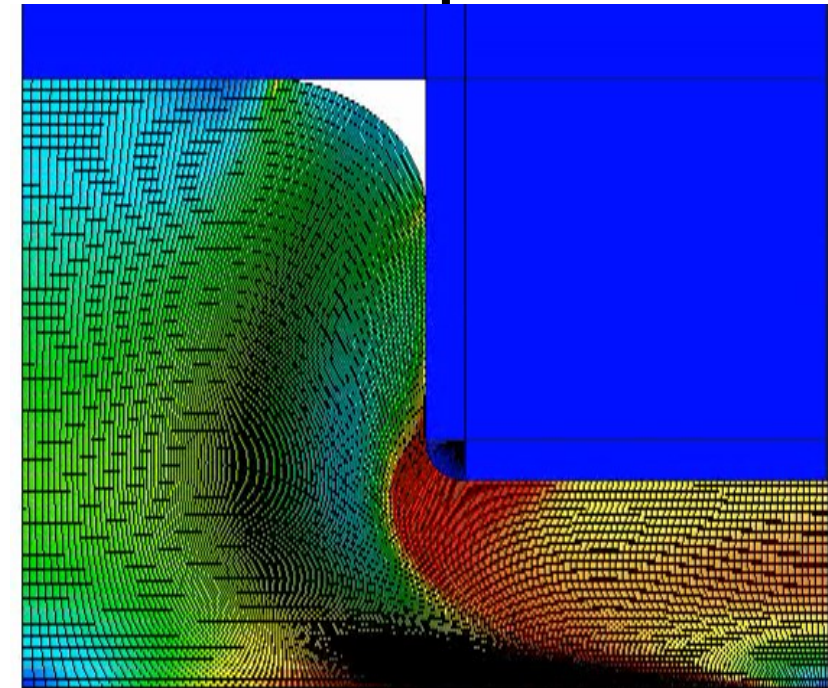
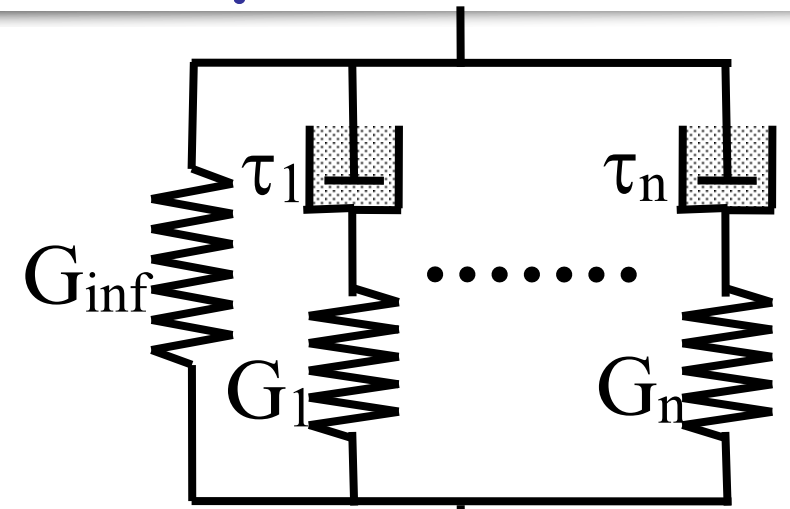
# Brief of Conventional Method for Thermal Imprint Simulation

## ■ Thermo-viscoelastic constitutive model

- Thermal contraction is described with **thermal expansion coefficient**.
- Shear behavior is described with the **time-temperature superposition principle** and **Prony series** for the **generalized Maxwell model**.
- Volumetric behavior is assumed to be independent of strain rate and temperature.

## ■ Numerical simulation with the **finite element method (FEM)**

Our idea:  
Similar numerical approach could be used for UV imprint simulation.



# Objective

1. Propose a numerical method for **UV curing process simulation**.
2. Utilize the process simulation for **mold shape optimization**.

# Methods

# Overview of Our Method

Considering the analogy of thermal and UV imprint,

■ Our approach uses **thermo-viscoelastic** material constitutive model and replaces phenomena on UV resin as follows.

- UV reaction progress  $\Rightarrow$  Cooling (temperature drop)
- UV shrink  $\Rightarrow$  Cooling contraction
- UV curing  $\Rightarrow$  Cooling solidification

Becomes similar to thermal imprint simulation

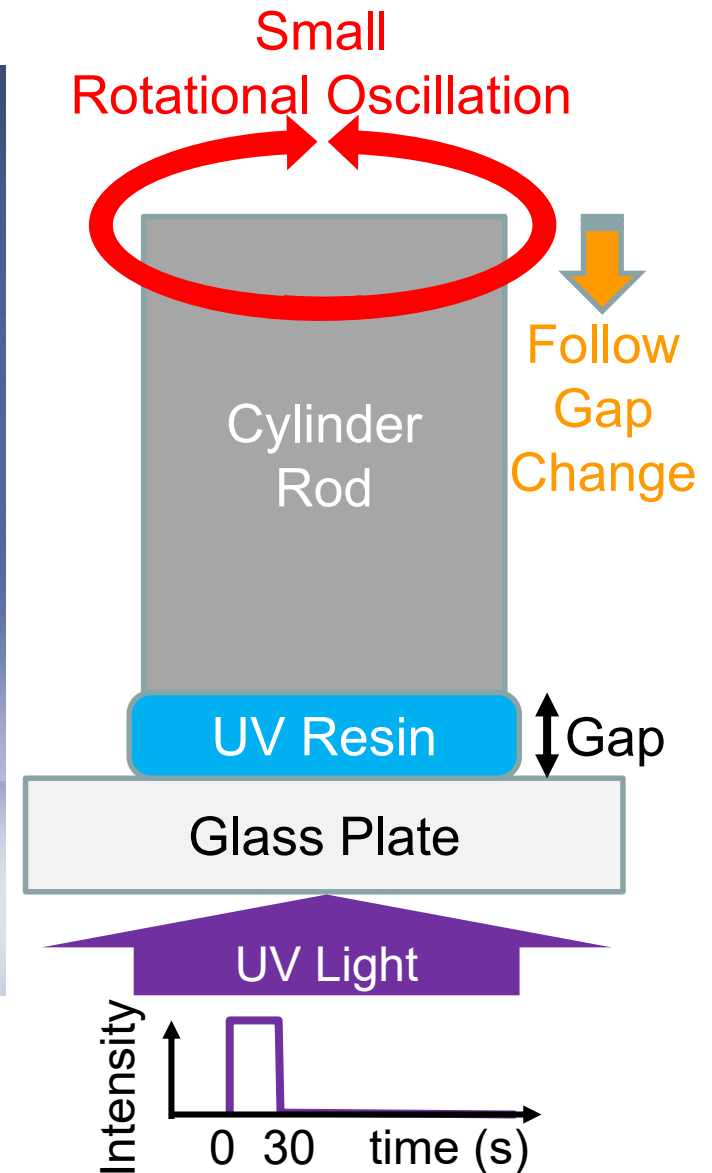
■ The model parameters are identified through **rheology measurement experiments**.



Numerical UV process simulation is realized as the result.

# Experimental Conditions

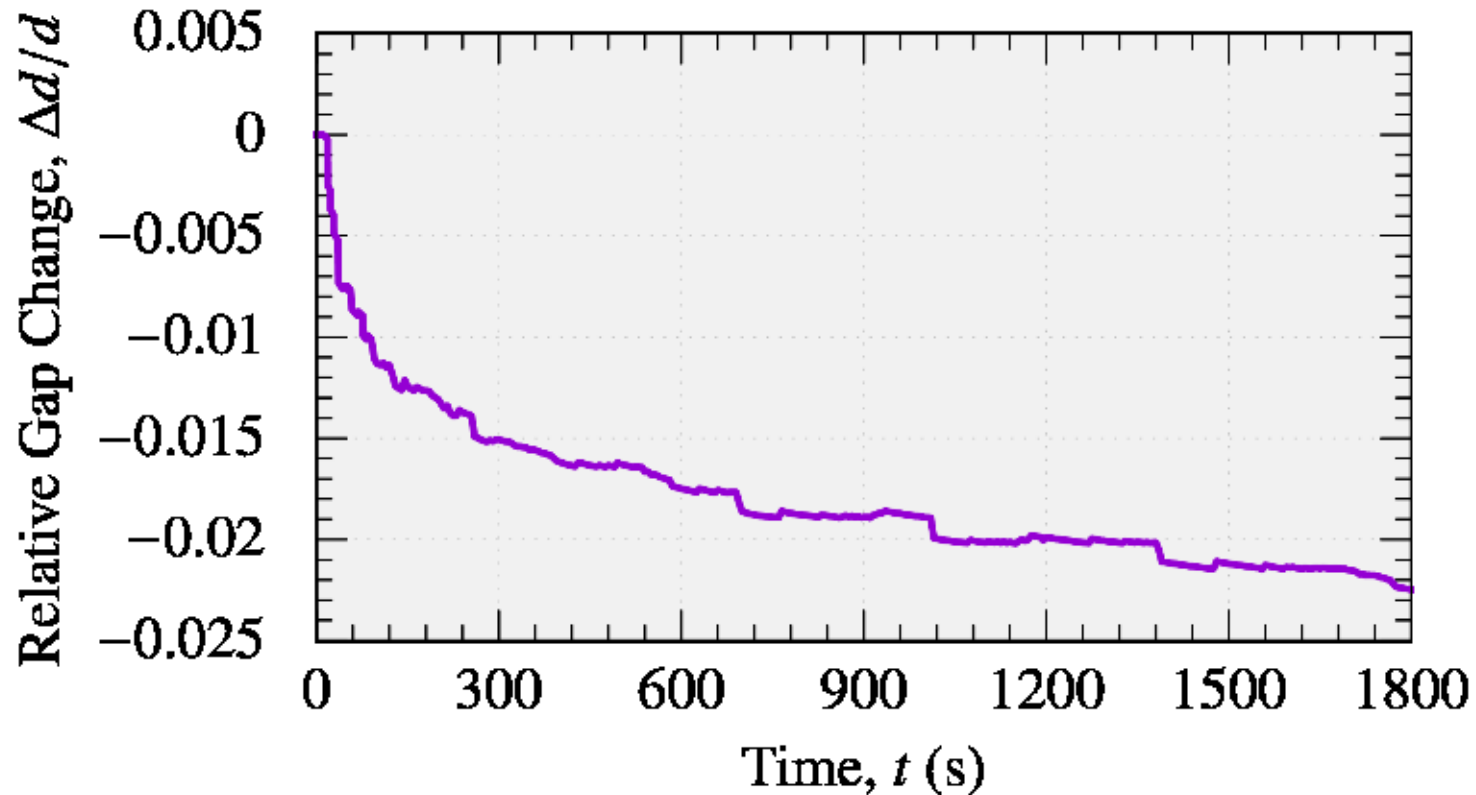
- Rotational oscillatory rheometer (Anton Paar MCR301) is used.
- The measurement object is an UV resin from Daicel Co..
- Room temperature is 25°C (const.).
- UV exposure condition is constant (30 s exposure in a constant intensity).
- The oscillation frequency is varied from 0.1 to 10 Hz.
- The gap between the cylinder rod and the glass plate changes over time due to UV shrink.
- Long time measurement is conducted to consider the dark curing.





# Relative Gap Change (Experimental Result)

## Time History of Relative Gap Change



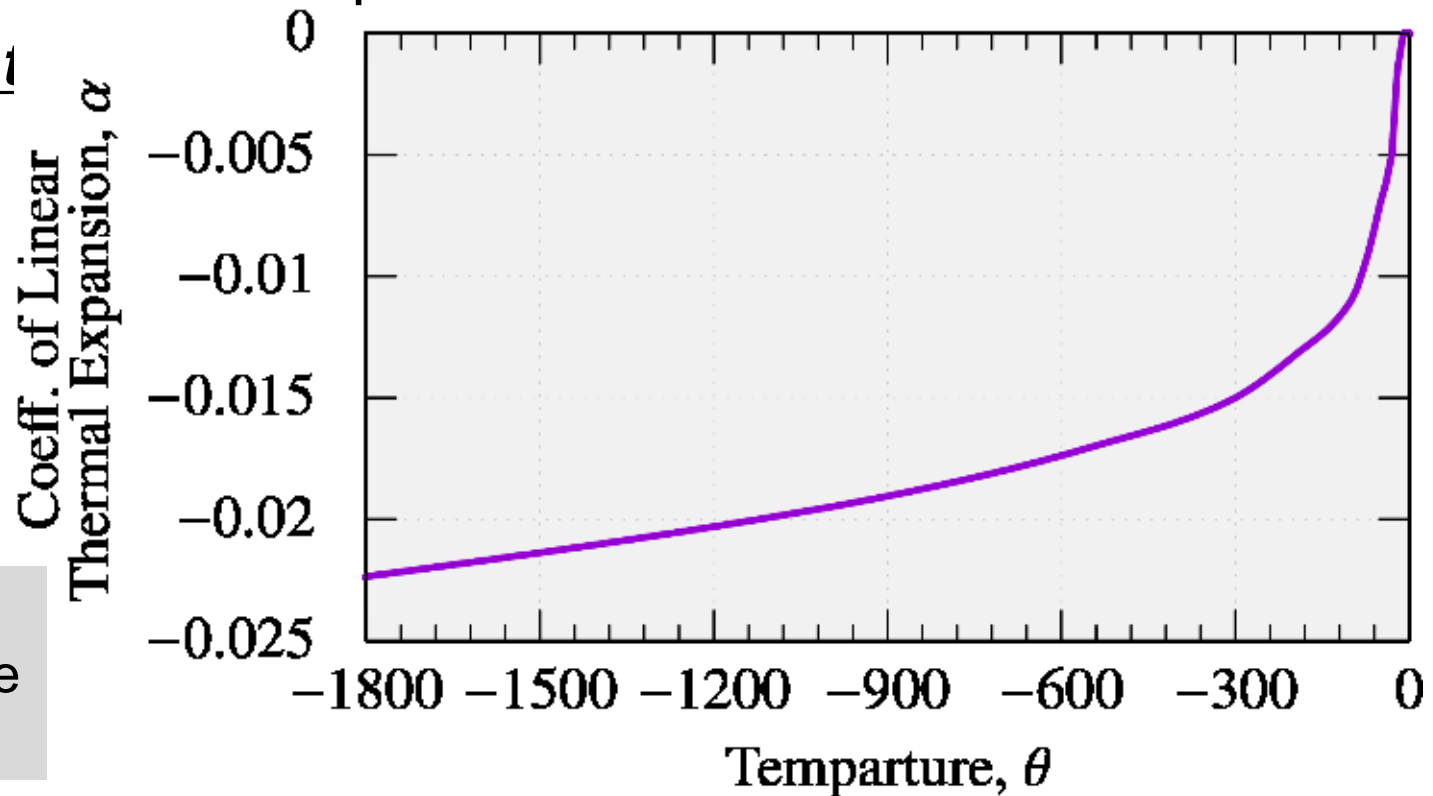
- Note: the time history of the relative gap change is always the same in all cases ( $\because$  UV exposure condition is constant).
- UV shrink progresses with time, but the shrink speed gradually decreases.

# Relative Gap Change (Model Parameter Identification)

- UV shrink is modeled as **thermal (cooling) contraction**.
- For the UV reaction progress measure, the time history of temperature is given as  $\theta(t) = -t$ . (Note that  $\theta$  is not a real physical quantity but just a virtual value.)
- The time history of relative gap change is converted into the temperature-dependent coefficient of thermal expansion.

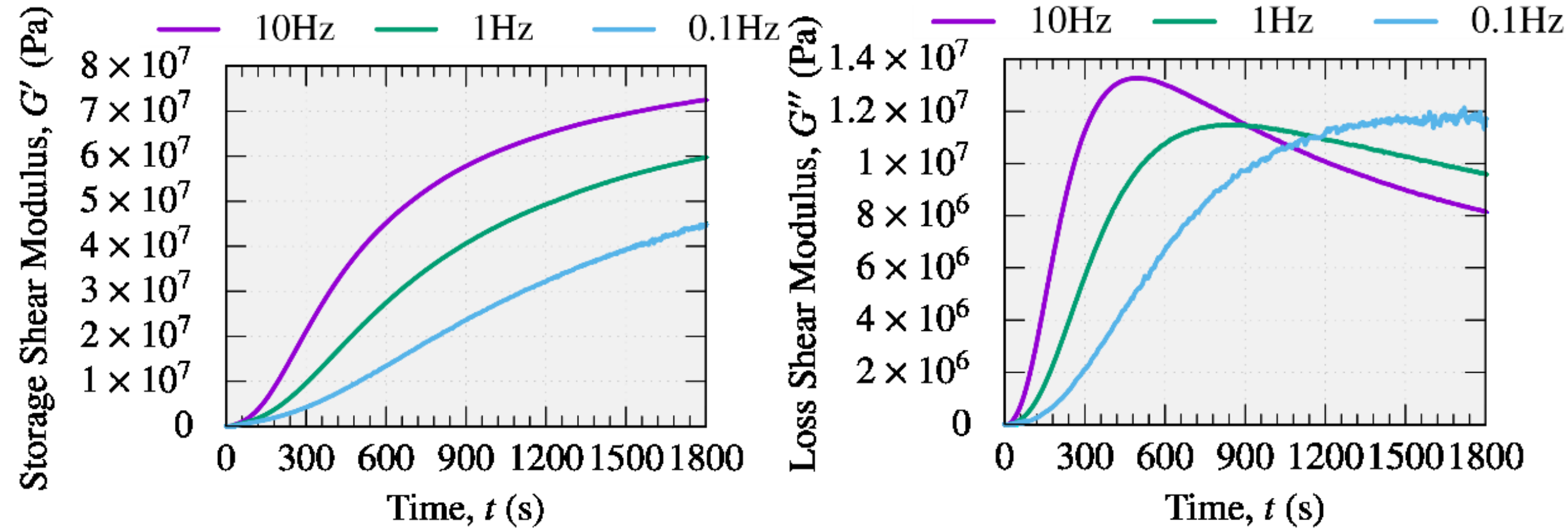
## Temperature-Dependent Coefficient of Thermal Expansion

Note:  $\alpha$  is negative because it represents the volume change compared to the initial volume.



# Viscoelasticity (Experimental Result)

## Time History of Storage / Loss Shear Modulus ( $G'$ / $G''$ )



- Depending on the frequency, the time histories of  $G'$  and  $G''$  are different (harder at higher frequencies).
- At any frequency, UV resin monotonically hardens with time.

# Viscoelasticity (Model Parameter Identification 1/3)

- UV resin is modeled as viscoelastic material based on the **time-temperature superposition principle** and **Prony series** for the **generalized Maxwell model**.
- A certain temperature is set as a reference temperature (e.g.,  $\theta^{\text{ref}} = -1800$ ).
- Pick  $G'$ 's and  $G''$ 's at different temperatures and identify each time shift.

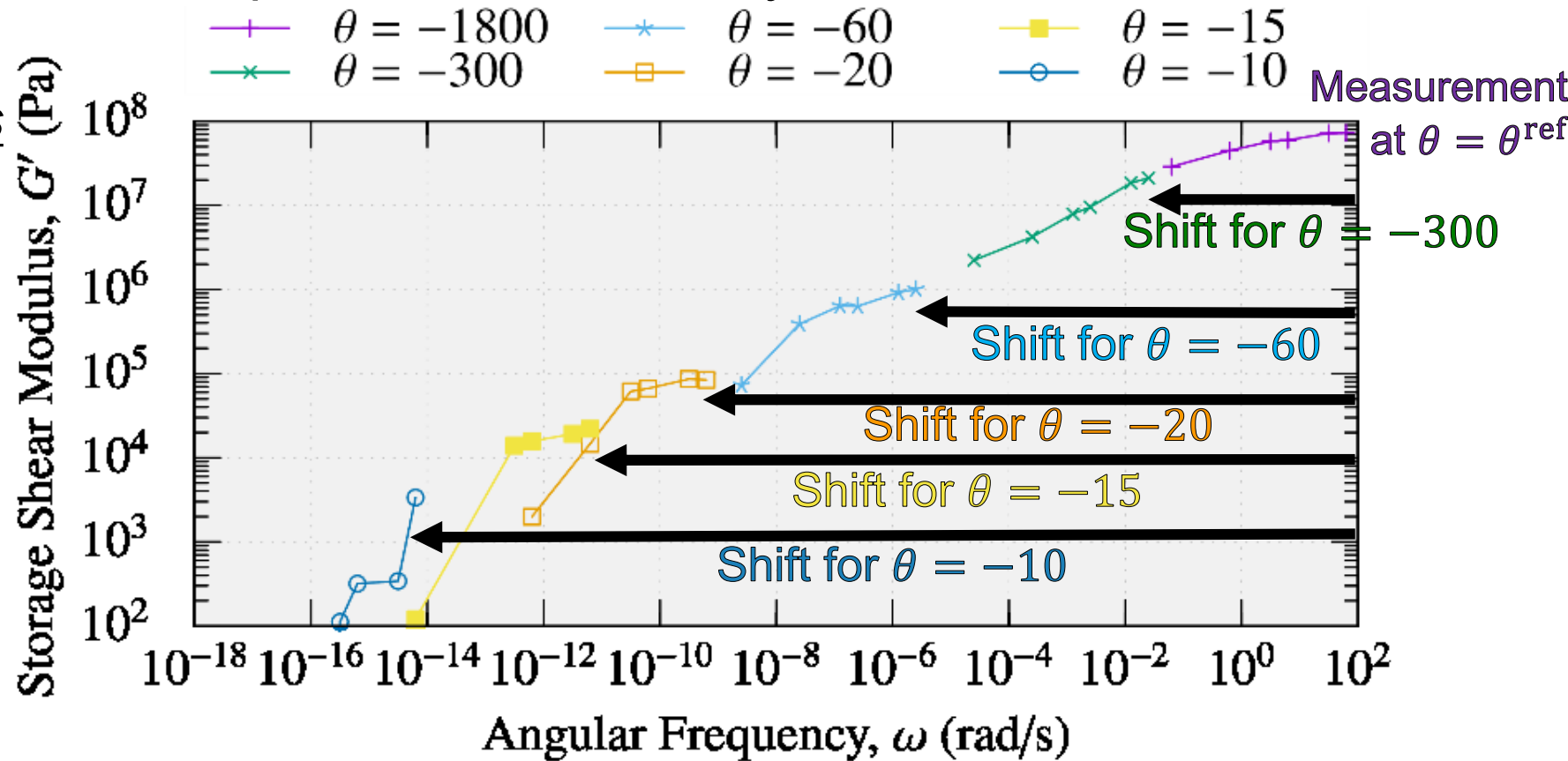
## Time-Shifted

## Storage Shear Modulus

$G'(\omega)$  at  $\theta^{\text{ref}}$

(Master Curve)

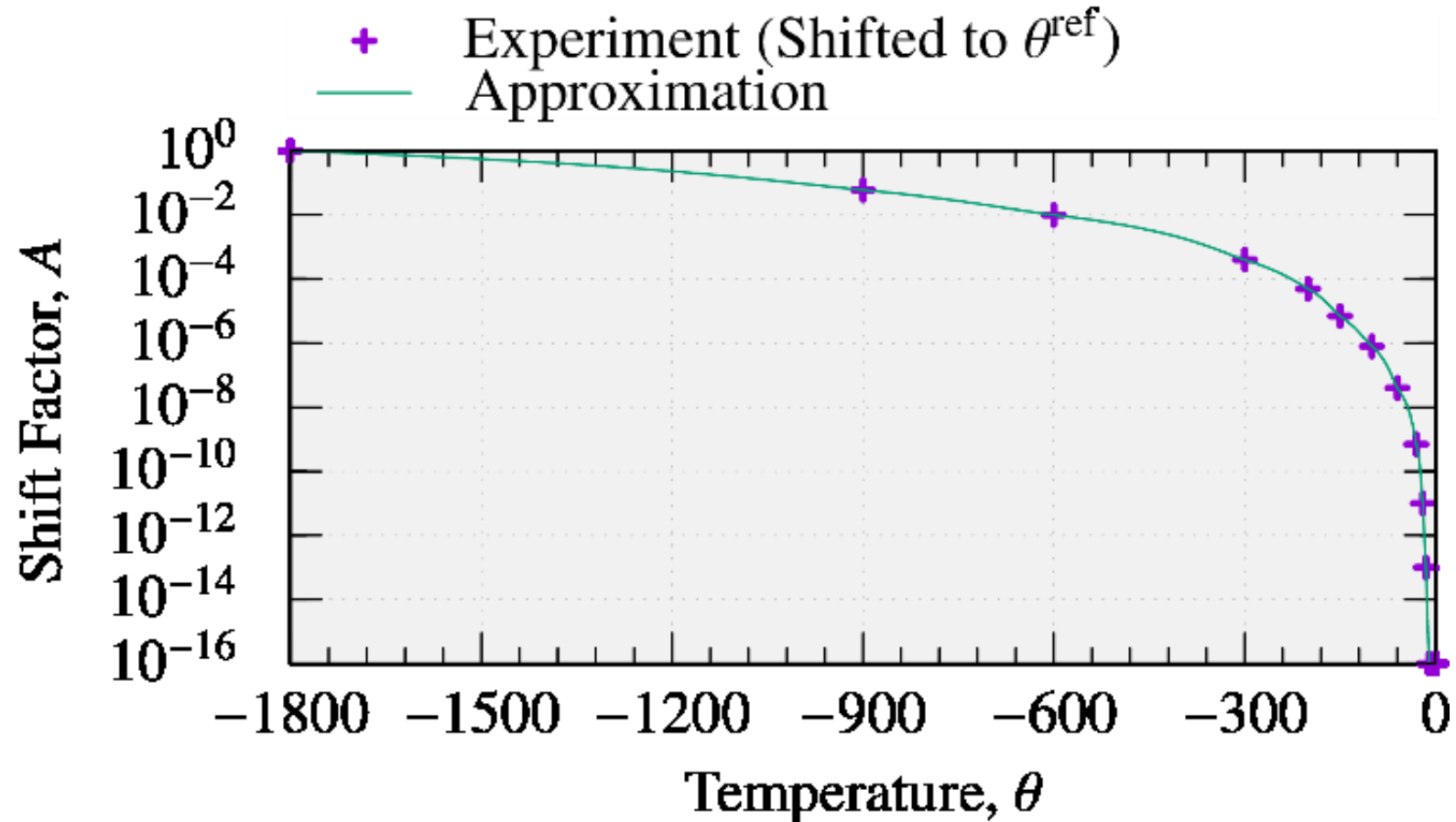
In practice,  $G''(\omega)$  is also taken into consideration to determine the time shifts.



# Viscoelasticity (Model Parameter Identification 2/3)

- A temperature-dependent shift factor (i.e., time-temperature superposition) is obtained by fitting the time-shifts at various temperatures.

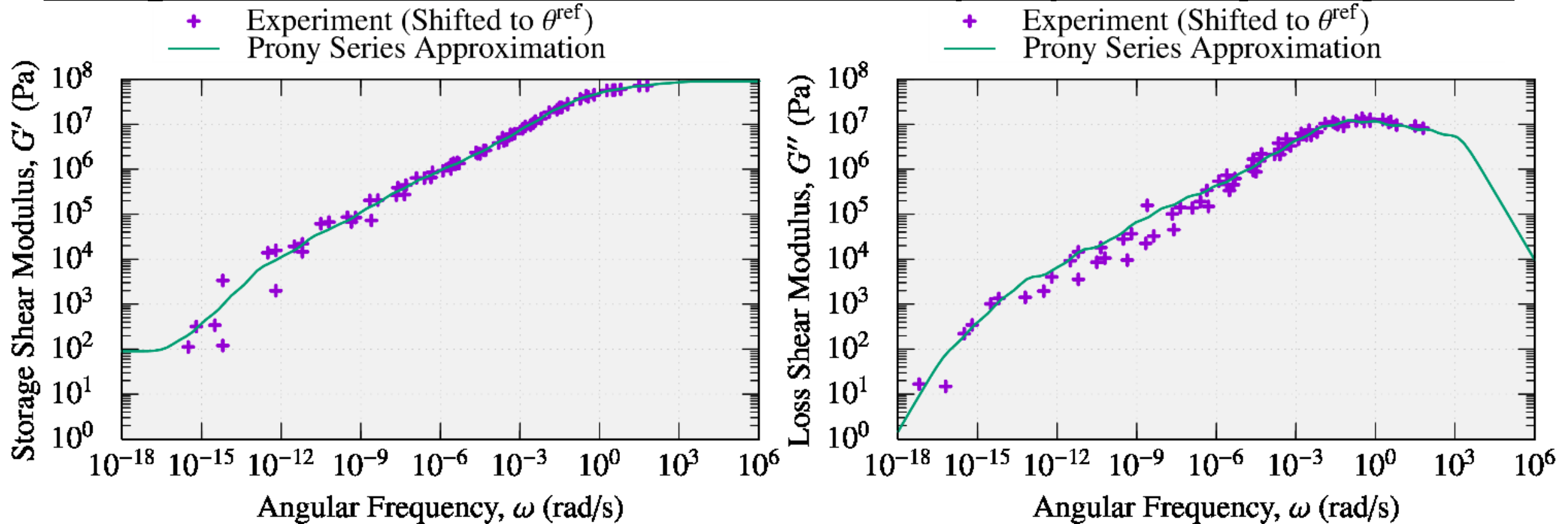
Temperature-Dependent Shift Factor  $A(\theta)$



# Viscoelasticity (Model Parameter Identification 3/3)

- Find the Prony series coeffs by fitting the master curve at the reference temp.

## ***Storage / Loss Shear Modulus at Reference Temp. Expressed by Prony Series***

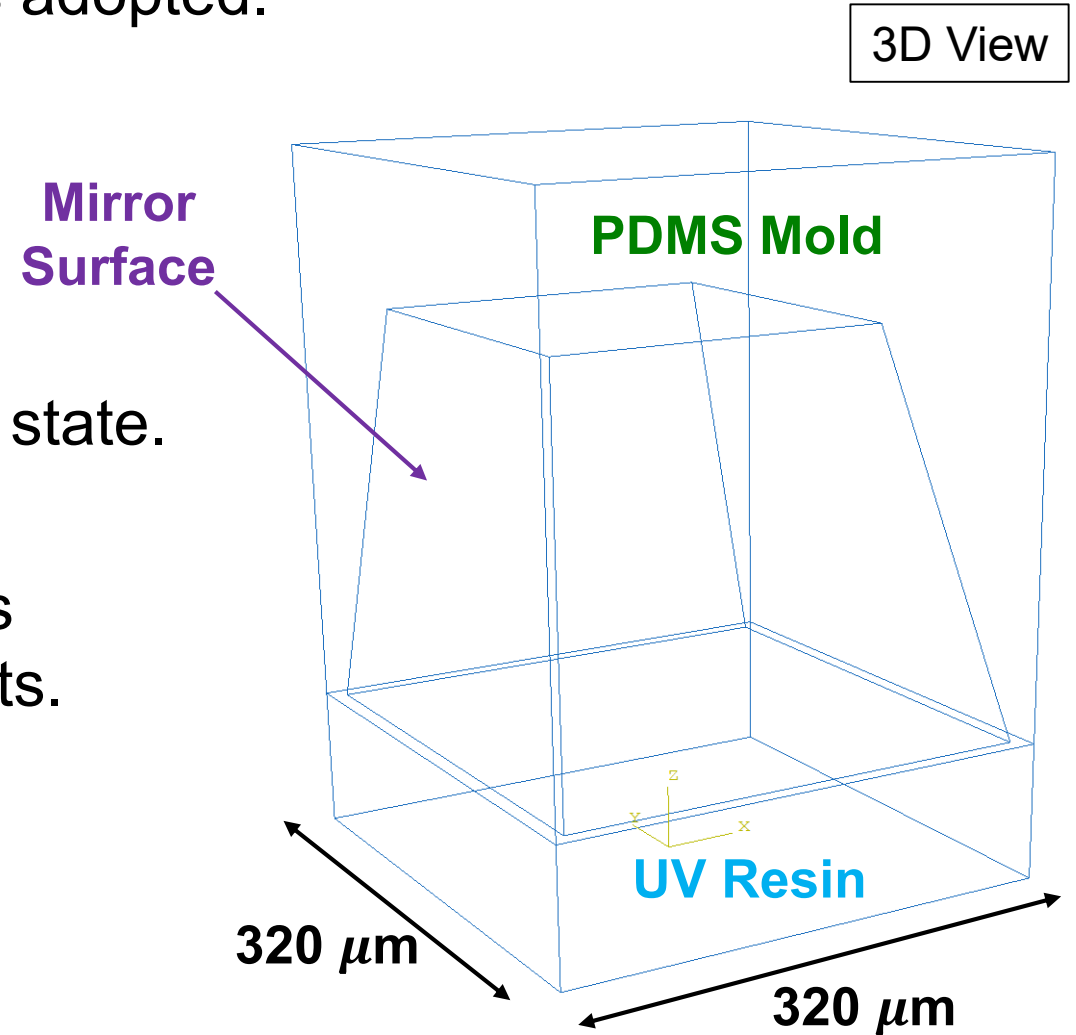


From the above, the constitutive model of thermo-viscoelasticity to simulate UV shrink and curing were identified.

# Result & Discussion

# UV Curing Process Simulation (Outline)

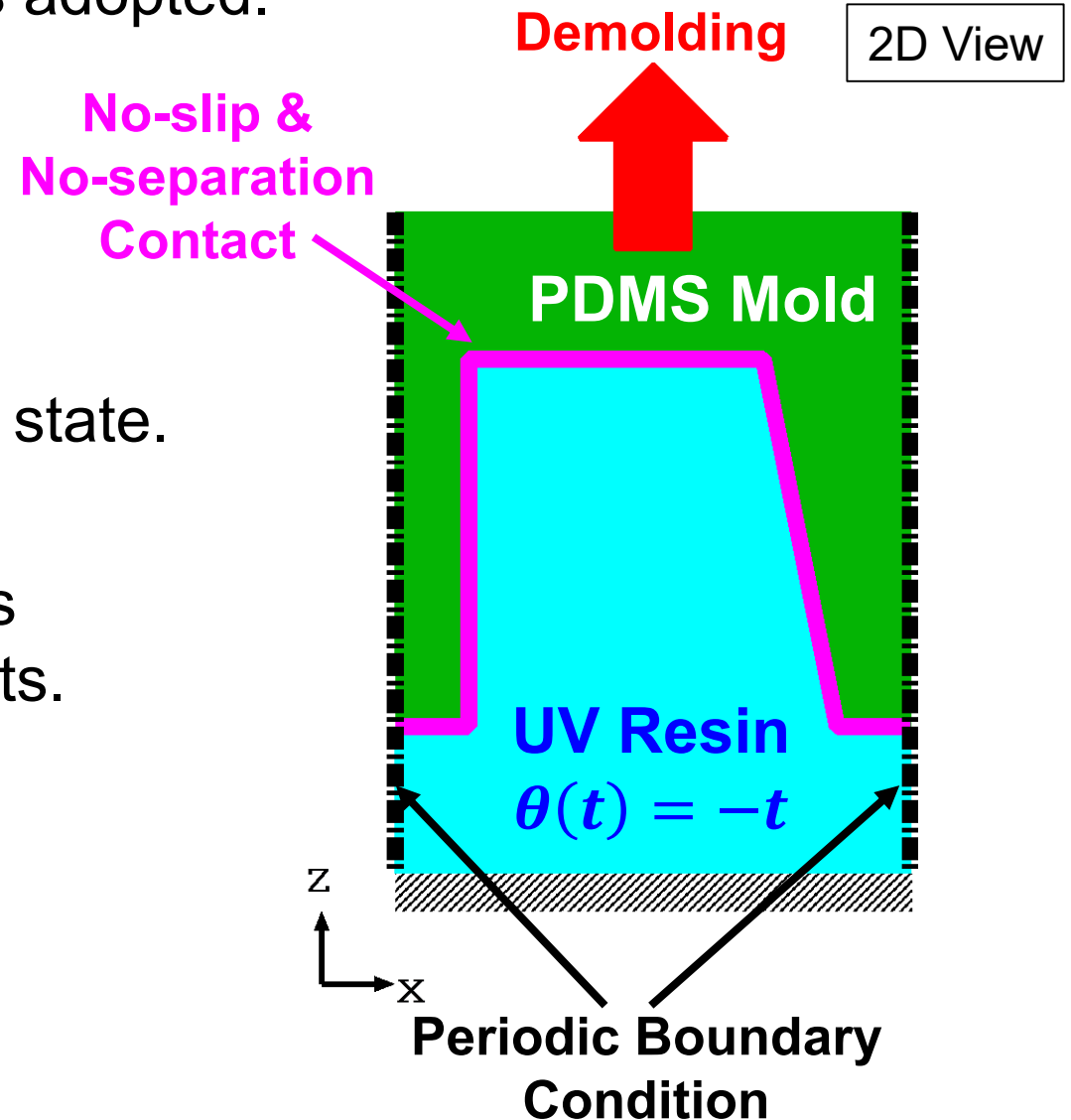
- Commercial finite element code, ABAQUS, is adopted.
- Target pattern is a **micro mirror array**.
- The mold pattern is periodic and thus only **one mirror is taken into account** with **periodic boundary conditions**.
- Mold cavity is filled with UV resin at the initial state.
- Temperature is given as  $\theta(t) = -t$ .
- UV exposure condition is exactly the same as that of the rheology measurement experiments. (30 s exposure in a constant intensity).
- Demolding is conducted 70 s after the end of UV exposure.
- Mirror curvature is evaluated enough after the demolding (6000 s).





# UV Curing Process Simulation (Outline)

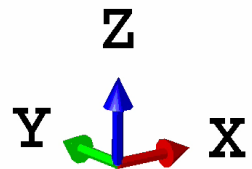
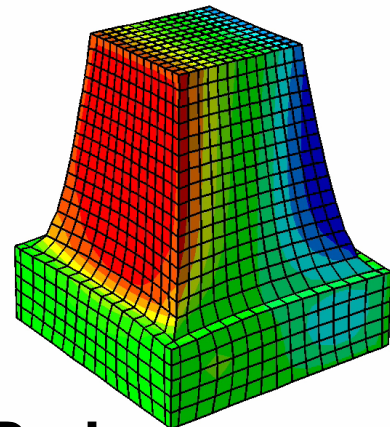
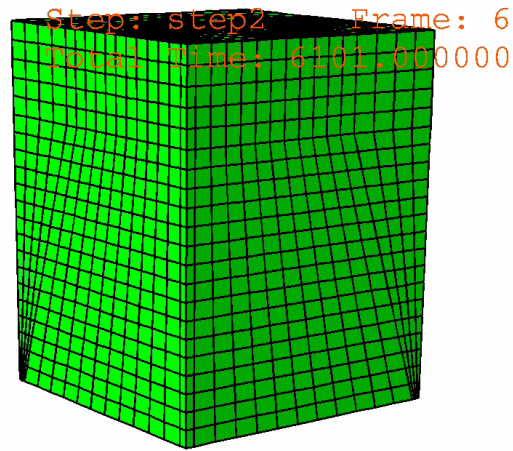
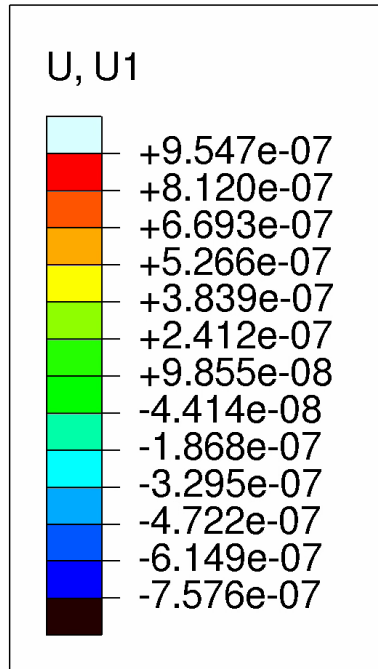
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# UV Curing Process Simulation (Simulation Result)

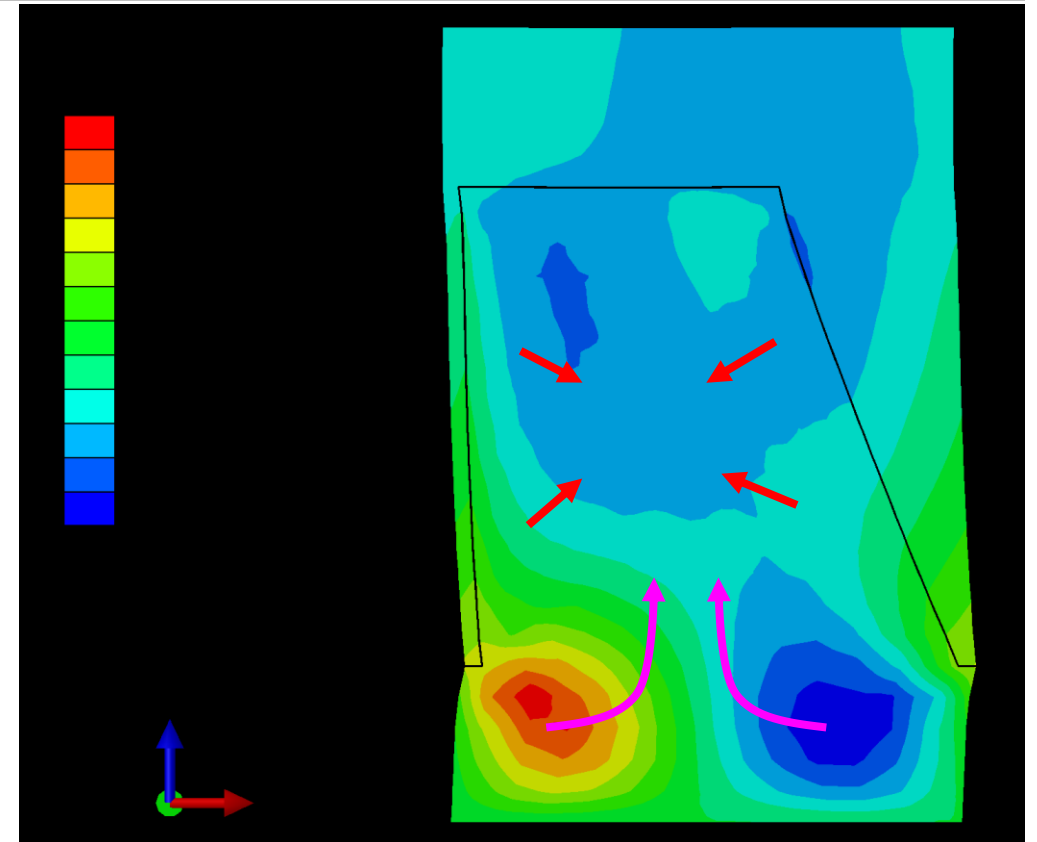
## Displacement Dist. in X Direction

Deformation Scale Factor: 30 x 30 x 1 in XYZ



**3D view**

**Surface curvature** due to the mold deformation is observed.

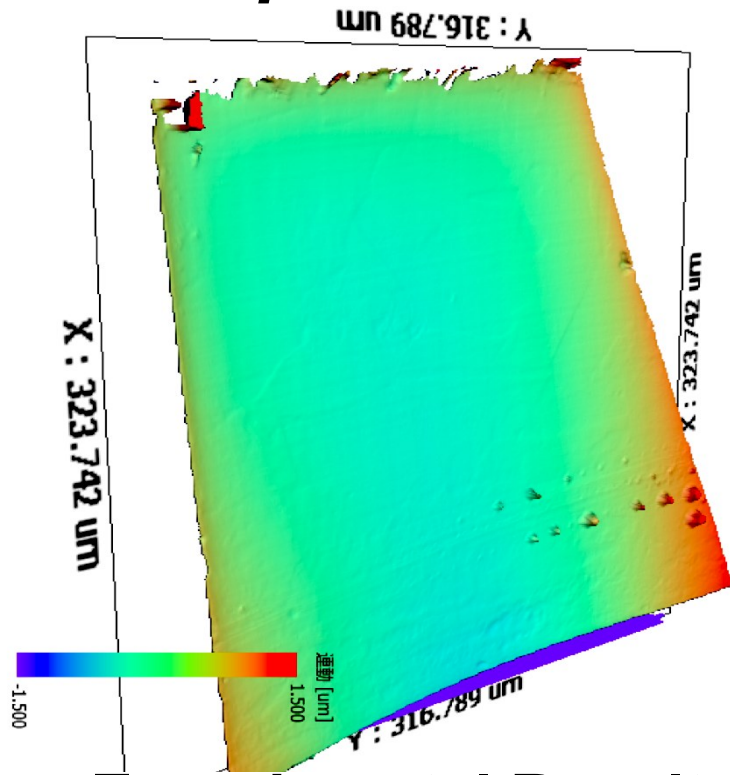


**Sectional view  
(cut on Y plane)**

**Flow of UV resin** due to the **UV shrink** is observed.

# UV Curing Process Simulation (Validation)

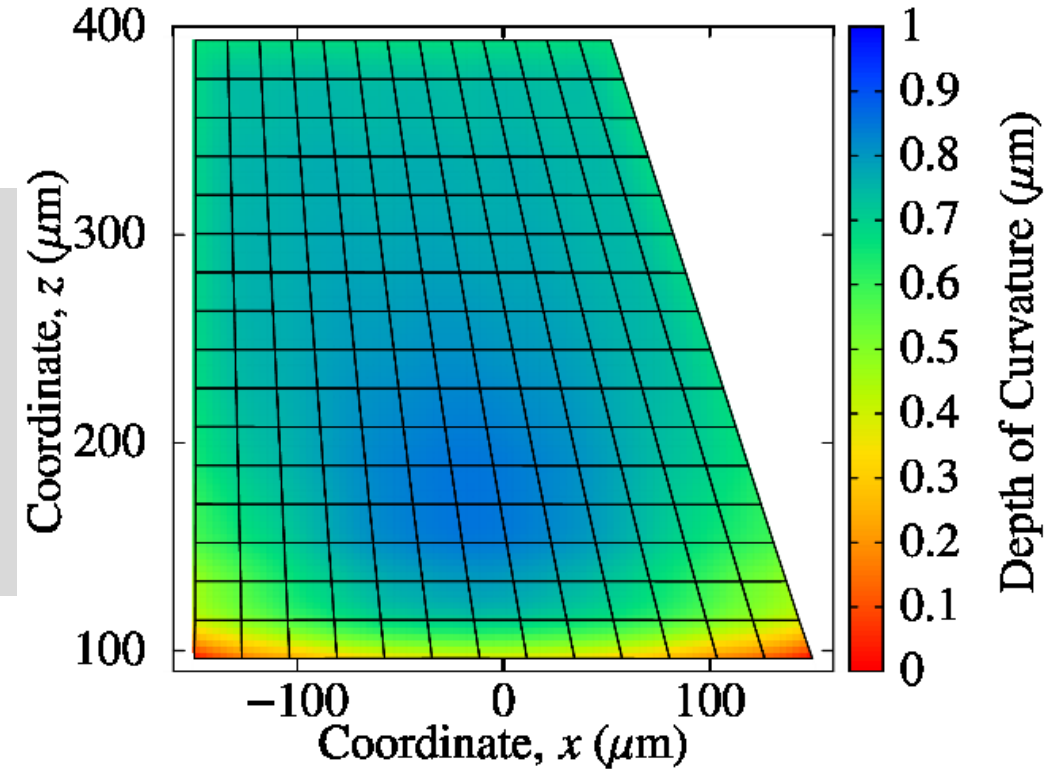
## Curvature Depth Dist. on Mirror Surface



### Experimental Result

(Measured with scanning probe microscope)

The maximum curvature depth is about 1  $\mu\text{m}$  located at lower center of the surface.



### Simulation Result

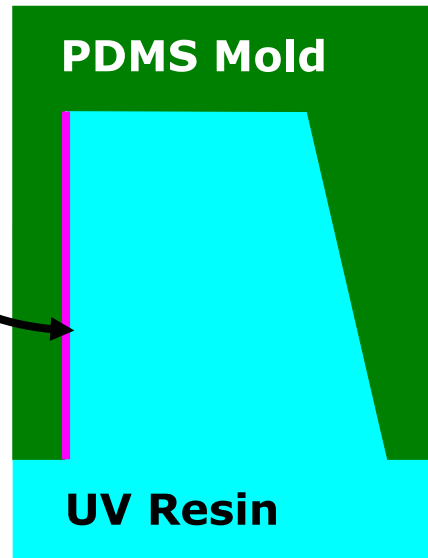
(For ease of comparison, the contour color scheme uses “reversed rainbow”.)

✓ Simulation result agreed with the experimental measurement data qualitatively.

# Mold Shape Optimization (Outline)

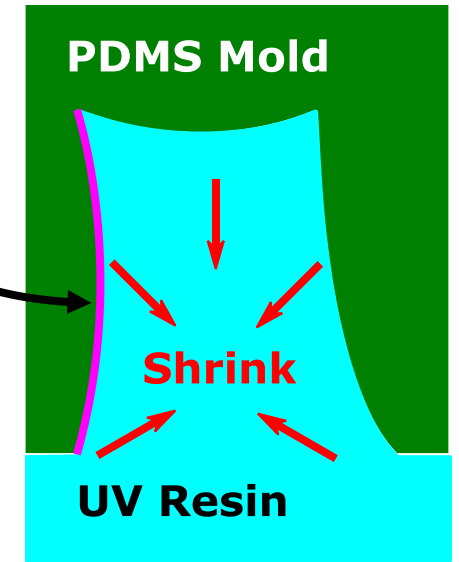
## Unoptimized Mold Shape

Before Mold Optimization



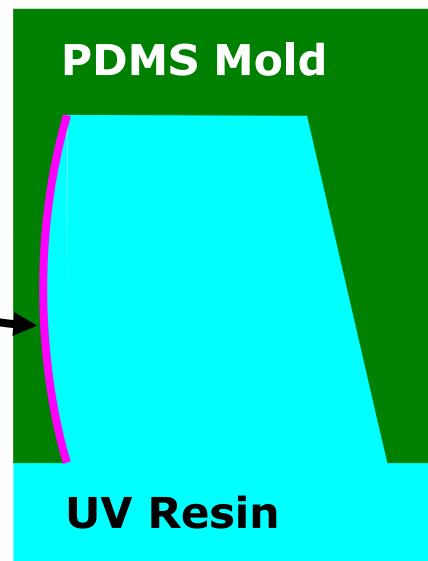
UV Exposure

✗ Curvature!



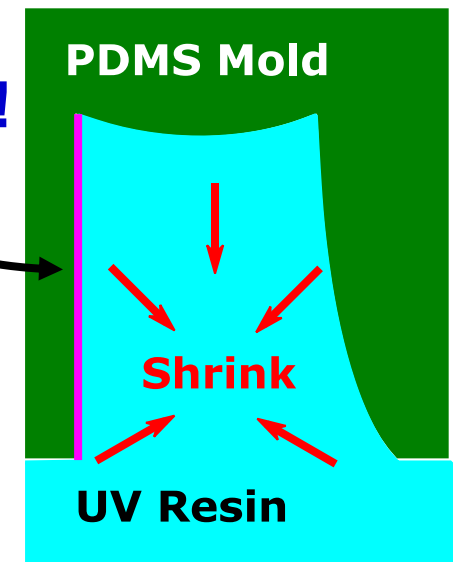
## Optimized Mold Shape

After Mold Optimization



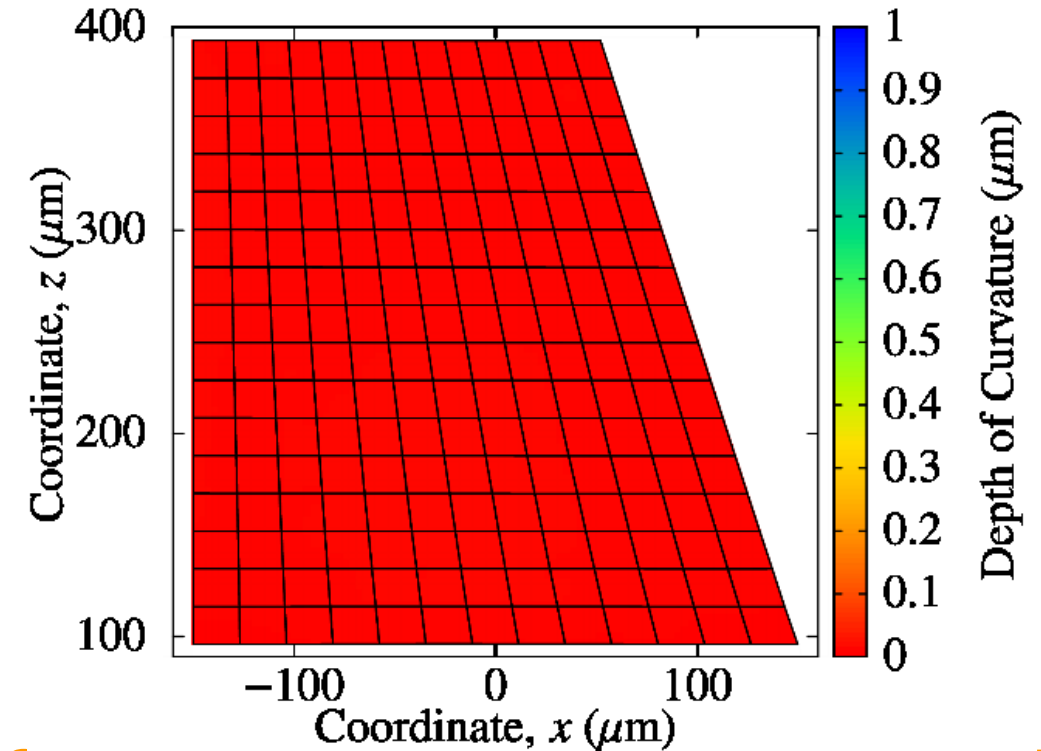
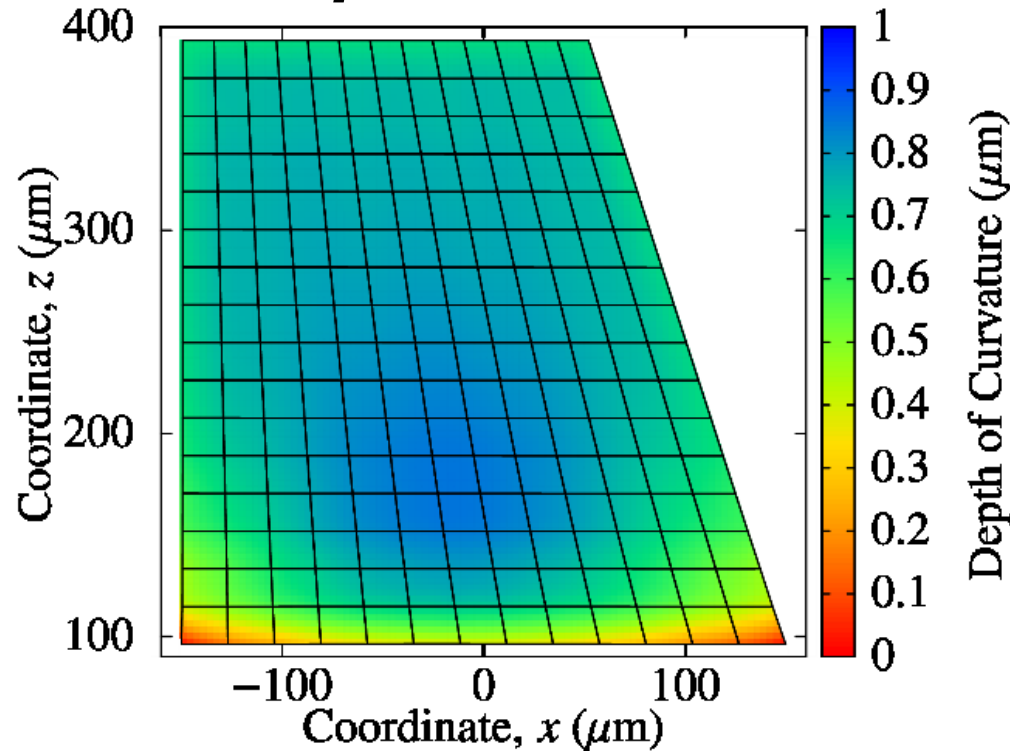
UV Exposure

✓ Lesser Curvature!



# Mold Shape Optimization (Simulation Result)

## Curvature Depth Dist. on Mirror Surface



### Simulation Result with Unoptimized Mold

✗ Maximum curvature depth: 1  $\mu\text{m}$

### Simulation Result with Optimized Mold

✓ Maximum curvature depth: 0.01  $\mu\text{m}$

The optimized mold greatly suppressed the curvature and achieved a super-flat mirror surface!

# Summary

# Summary

- A numerical modeling method for **UV shrink & curing simulation** using **thermo-viscoelastic model** was proposed.
- The model parameters were identified through the **rheology measurement experiments**.
- A process simulation for micro mirror array using PDMS mold validated the **qualitative accuracy on mirror surface curvature**.
- A demonstration of **mold shape optimization** successfully suggested an optimal mold shape to achieve a flat mirror surface.
- Quantitative validation and application to other patterns (such as lens arrays) are our future work.

# Acknowledgement

- This is a joint work with Daicel Co. and Osaka Prefecture Univ. (Prof. Hirai's group).
- Another joint work is scheduled for oral presentation tomorrow:
  - Yoshihiko Hirai et al., "3-Dimensional mold profile correction for resin shrinkage in micro-nano molding process"
  - Sep. 26 (Wed.), 16:25 -16:40, Auditorium 11 (06-11: Metrology)

Thank you for your kind attention.



# Appendix

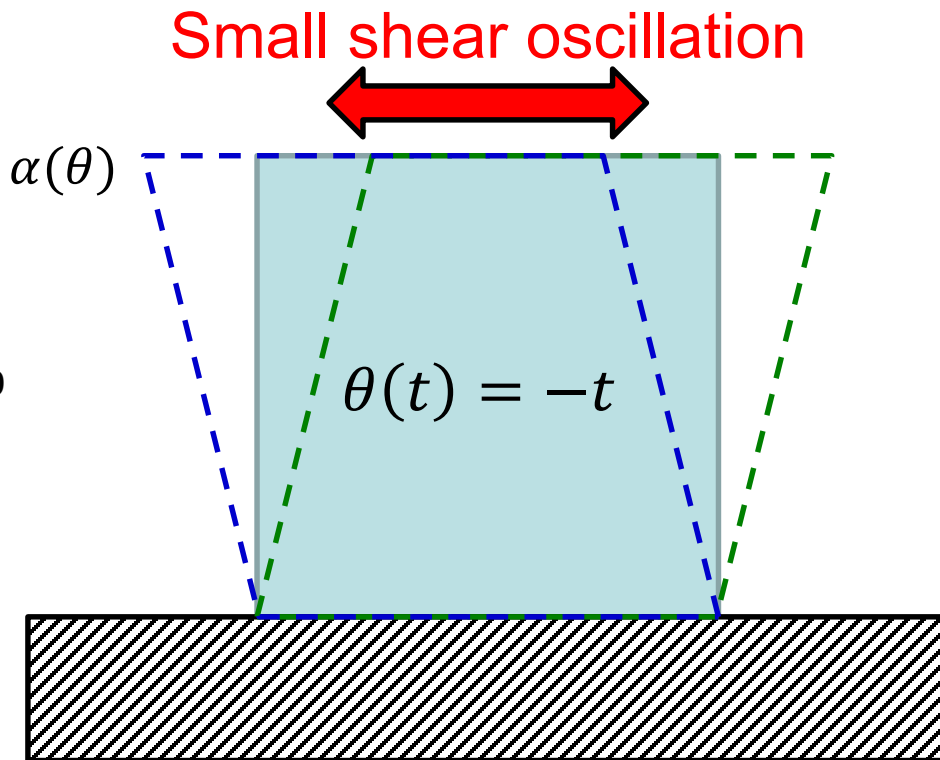
# Limitation of Our Method

- UV exposure condition to simulate must be exactly the same as that on the rheology measurement experiments.
- The pattern size must be large enough to apply continuum approximation.

# Validation of Material Constitutive Model

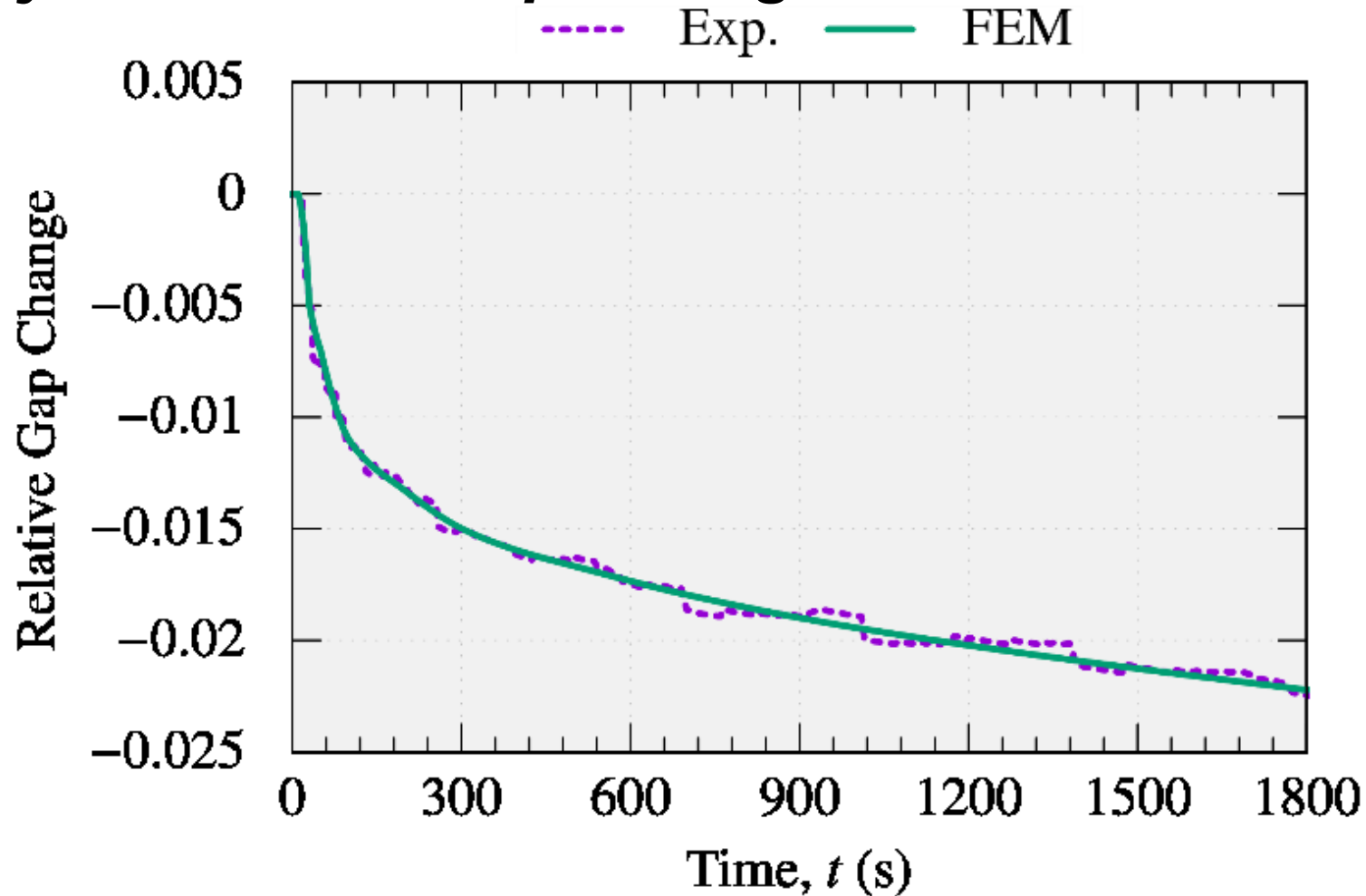
## Outline

- Finite element analyses using the identified thermo-viscoelastic properties to reproduce the rheometer measurement data is conducted.
- For simplicity, time evolution analysis that gives shear vibration to one hexahedral element is performed.
- Defined thermo-viscoelastic properties are:
  - Temperature-dependent coefficient of thermal expansion,  $\alpha(\theta)$
  - Temperature-dependent shift factor,  $A(\theta)$
  - Prony series at reference temperature,  $g_i$  ( $i = 1, \dots, 20$ )
  - Instantaneous Young's modulus  $E^0$  and Poisson's ratio  $\nu^0$
- Field condition of temperature  $\theta(t) = -t$  is given.
- Boundary conditions are:
  - Perfect constraint on the lower surface
  - Small oscillatory disp. in shear on the upper surface.



# Validation of Material Constitutive Model

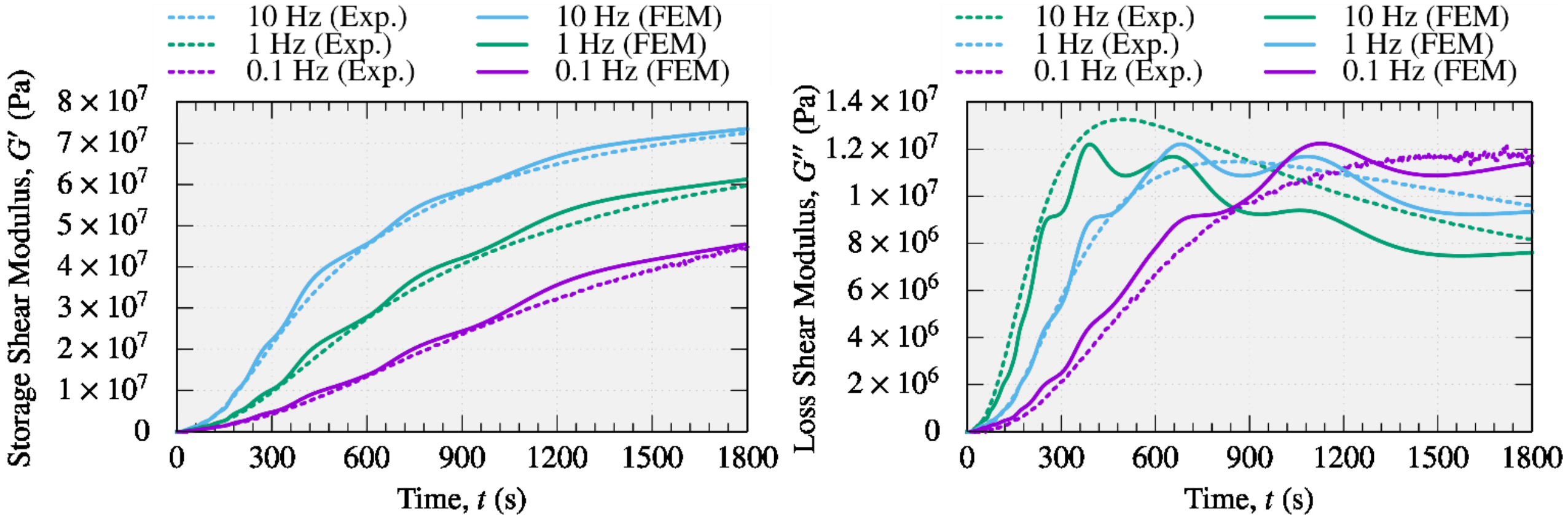
## Time History of Relative Gap Change



The relative gap change is accurately simulated.

# Validation of Material Constitutive Model

## Time History of Storage / Loss Shear Modulus



The storage shear modulus  $G'$  is accurately simulated.  
On the other hand, minor problem remains in the accuracy of  
the loss shear modulus  $G''$  because  $G' \gg G''$ .

# Outline of UV Process Simulation

## Step 1: Stationary (1 sec.)

- Static analysis
- Start no-slip & no-separation contact

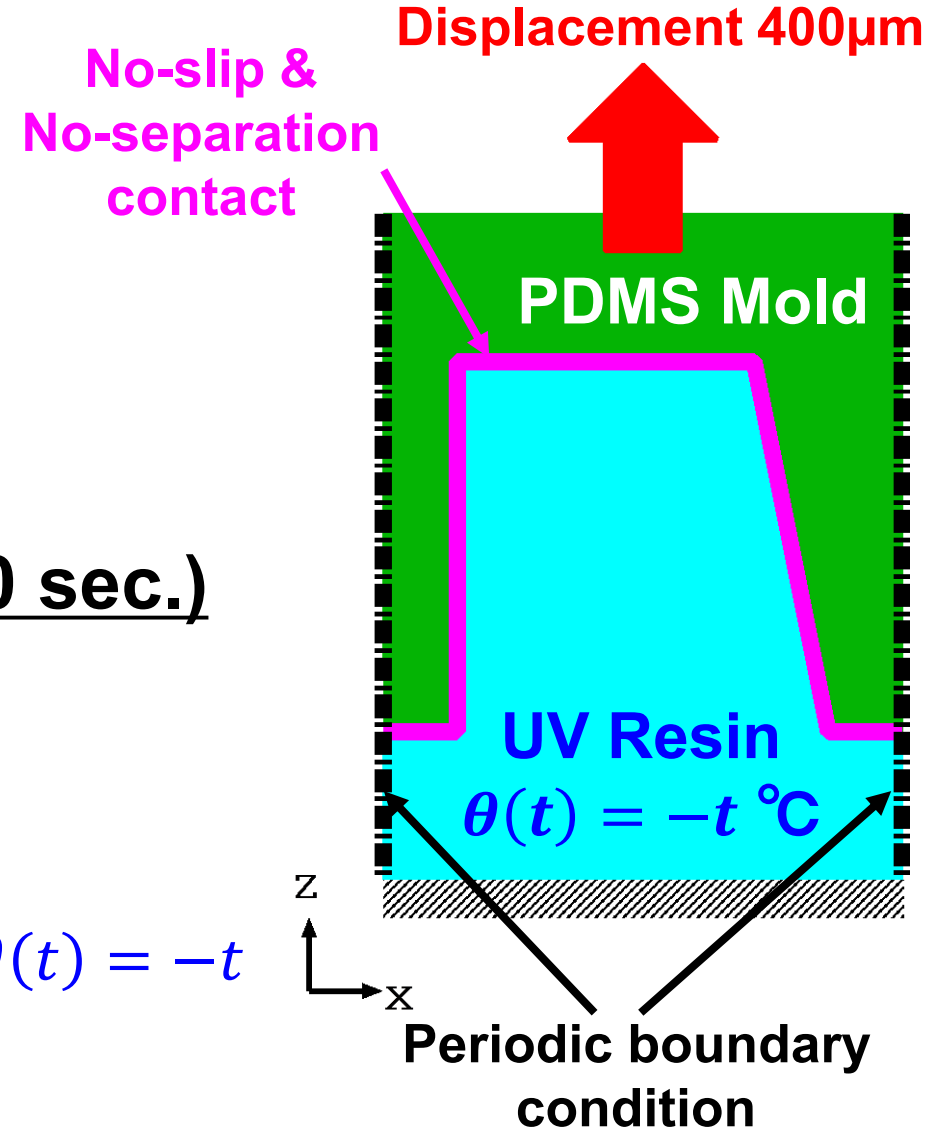
## Step 2: UV curing (100 sec.)

- Quasi-static analysis
- Lower UV resin temperature:  $\theta(t) = -t$

## Step 3: Demolding & Dark curing (6000 sec.)

- Quasi-static analysis
- Remove no-slip & no-separation contact
- Lift mold upward
- Continue lowering UV resin temperature:  $\theta(t) = -t$

ABAQUS/Standard C3D8 is used for FE analysis.

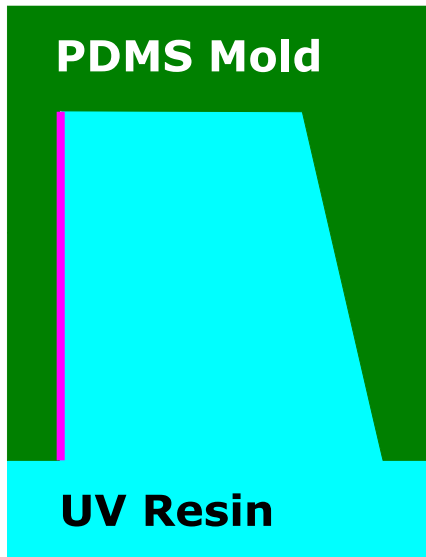


# Search Flow of Optimized Mold Shape

**Cost function**: Squared sum of curvature depth

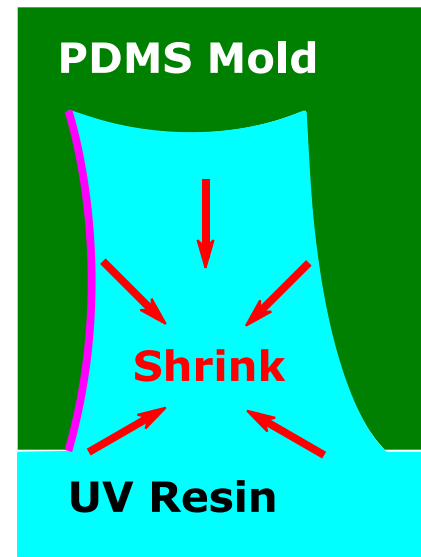
**Optimization method**: Quasi-Newton method

Initial mold shape



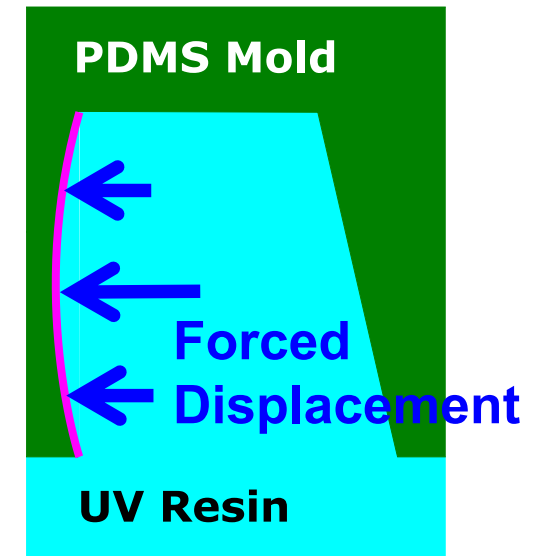
①  
UV process simulation

Get curvature data



②  
Modify mold shape according to the curvature

Modify mold shape



③ Mold shape update

This optimization loop continues until the curvature after UV shrink becomes flat within tolerance.

# How to Find Optimal Mold Shape?

1. Perform proposed FE analysis using mold shape represented by  $x_i^{(n)}$ .

2. Get nodal coordinates  $x_i'^{(n)}$  after the analysis.

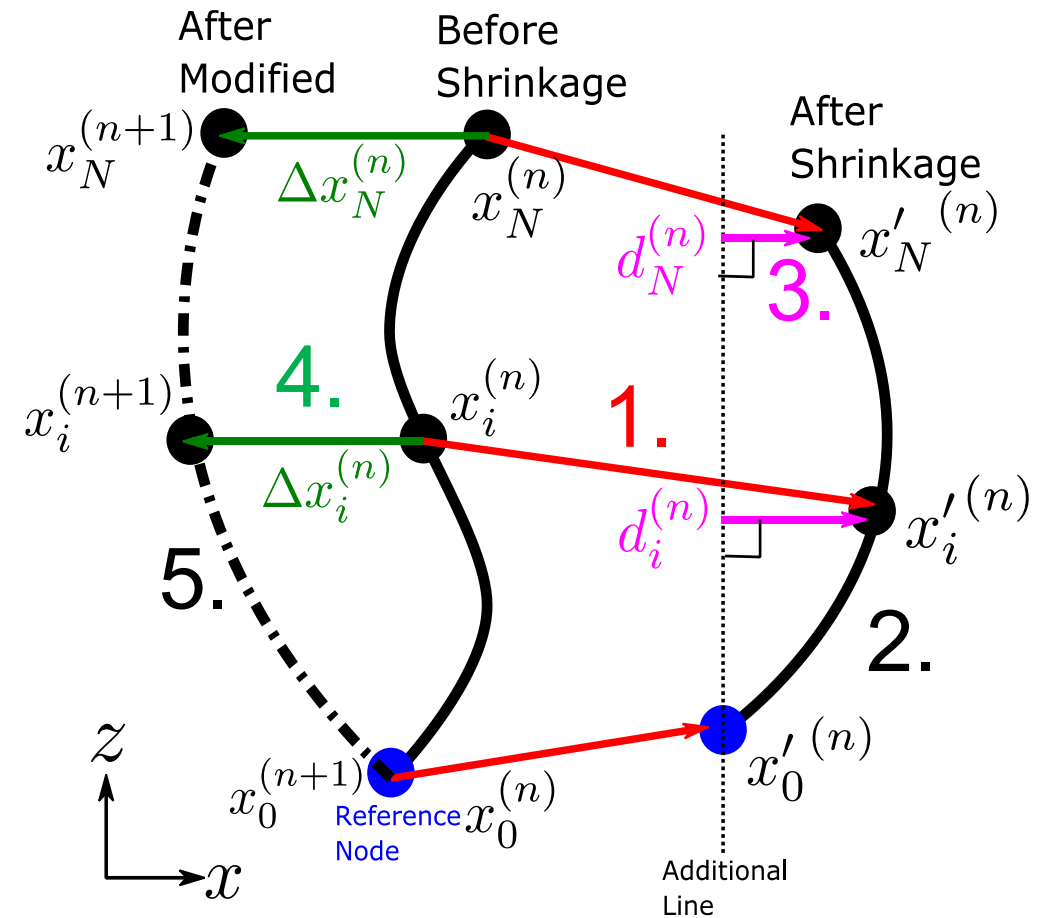
3. Curvature depth  $d_i^{(n)}$  satisfies  $d_i^{(n)} < \epsilon \forall i$ ?

**NO**

4. Apply forced displacement  $\Delta x_i^{(n)} = -\alpha d_i^{(n)}$  to the nodes of the mold.

5. Mold shape coordinates are updated to  $x_i^{(n+1)}$ .

Find nodal coordinates set of the mold  $\{x_0, \dots, x_N\}$  that minimize squared sum of curvature depth  $\sum_{i=0}^N \|d_i\|^2$ .



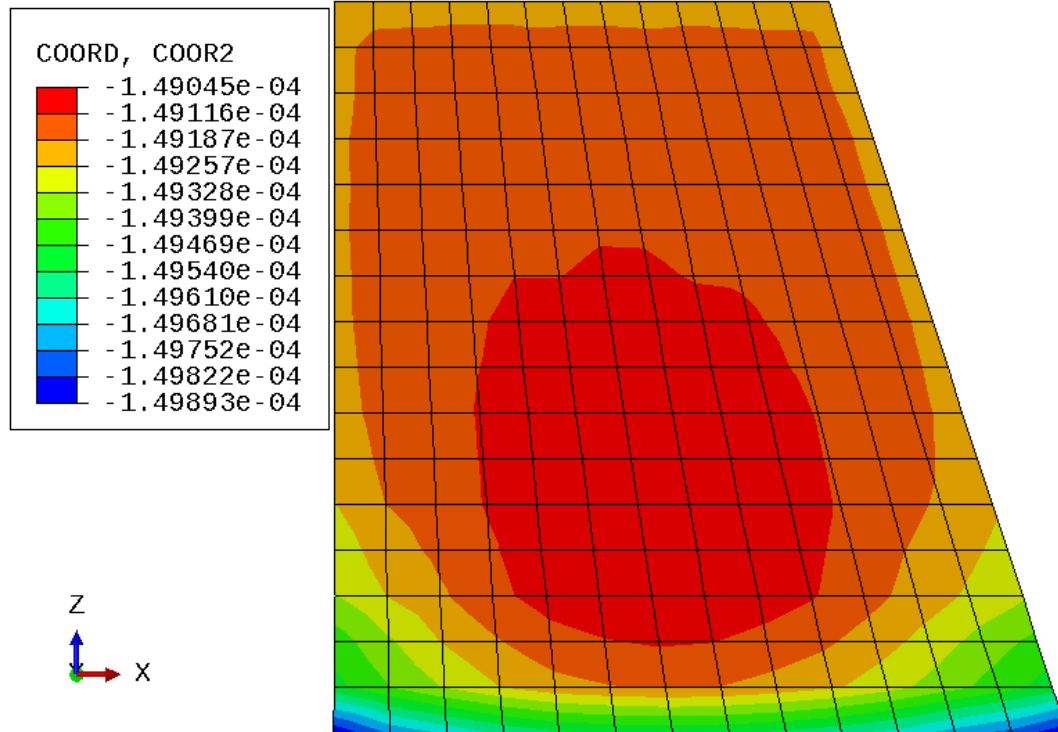
**YES**

**Break loop**



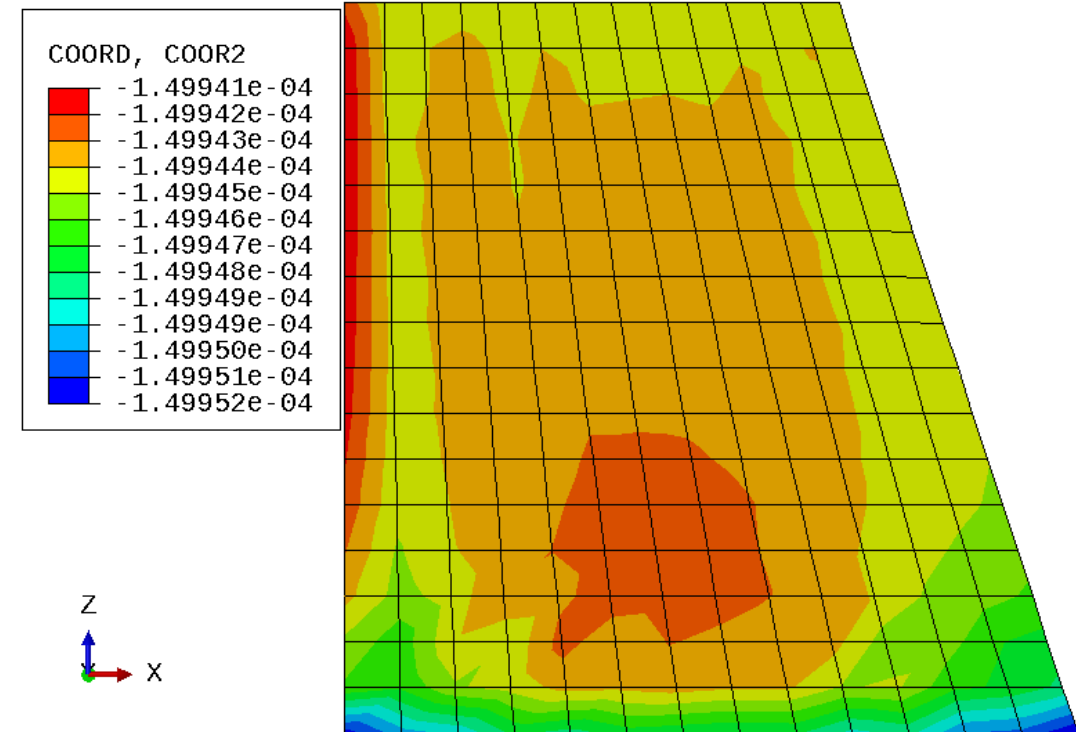
# Mold Shape Optimization (Simulation Result)

## Curvature Depth Dist. on Mirror Surface



Simulation Result with Unoptimized Mold

✗ Maximum curvature depth: 1  $\mu\text{m}$



Simulation Result with Optimized Mold

✓ Maximum curvature depth: 0.01  $\mu\text{m}$

The optimized mold greatly suppressed the curvature and achieved a super-flat mirror surface!